



**Westwood<sup>®</sup>**

Investing Where It Counts

# EnerCom Dallas

---

Energy Investment & ESG

**Hussein Adatia**

April 2022

We are focused on investing where it counts to improve client outcomes.

Multi-Investment Team Structure

U.S. Equities  
Multi-Asset  
Fixed Income



Employee Ownership Culture

Employees and directors own equity, currently totaling 19%<sup>3</sup>  
No employee owns more than 5% equity  
Deep, experienced investment and client service staff

NEPC, LLC - Independent Consulting Firm

Ongoing industry research  
Manager due diligence  
Asset allocation assistance

Diversified Business

Westwood serves the needs of affluent individuals and families, non-profits and foundations, and retirement plans.

<sup>1</sup>Westwood Management Corp. was founded and registered with the SEC in April 1983. Its parent company, Westwood Holdings Group, Inc., was launched as a public company in 2002, trading on the New York Stock Exchange under the symbol WHG. Except as otherwise noted, all references to or information about the "firm" or "Westwood" throughout this presentation describe Westwood Holdings Group, Inc. and its wholly owned subsidiaries, which include Westwood Management Corp., Westwood Trust, and Westwood Advisors, LLC.

<sup>2</sup>Best Places to Work Selection criteria based 25% on corporate application and 75% on anonymous employee questionnaires within the "100-499 employees" category. Awarded on Dec. 14, 2020.

<sup>3</sup>As of March 2, 2021

<sup>4</sup>Chosen as one of 2021's top-75 based on online employer and employee surveys within the "50+ employees" category. Awarded on March 1, 2021.

Firm Overview<sup>1</sup>

Institutional  
Mutual Funds  
Wealth Management

Overview<sup>1</sup>

Founded | 1983

AUM | \$14.5 B

Employees | 130

Publicly Listed | NYSE: WHG



## Areas of ESG Focus



### Diversity, Equity & Inclusion

- Training & education for employees
- Recruiting efforts



### Privacy & Data Protection

- Extensive use of Data Loss Prevention features
- SSL certificates installed on all public websites
- Annual network penetration testing
- Employee education and training



### Responsible Investing

- Responsible Investment Committee oversees investment related practices
- Investment Team has implemented an internal process for calculating ESG scores on securities



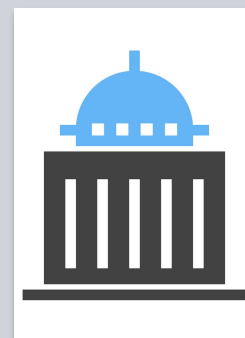
### Environment

- Green Committee establishes and oversees environmental initiatives
- Calculate carbon footprint and purchase carbon credits to offset carbon footprint



### Community

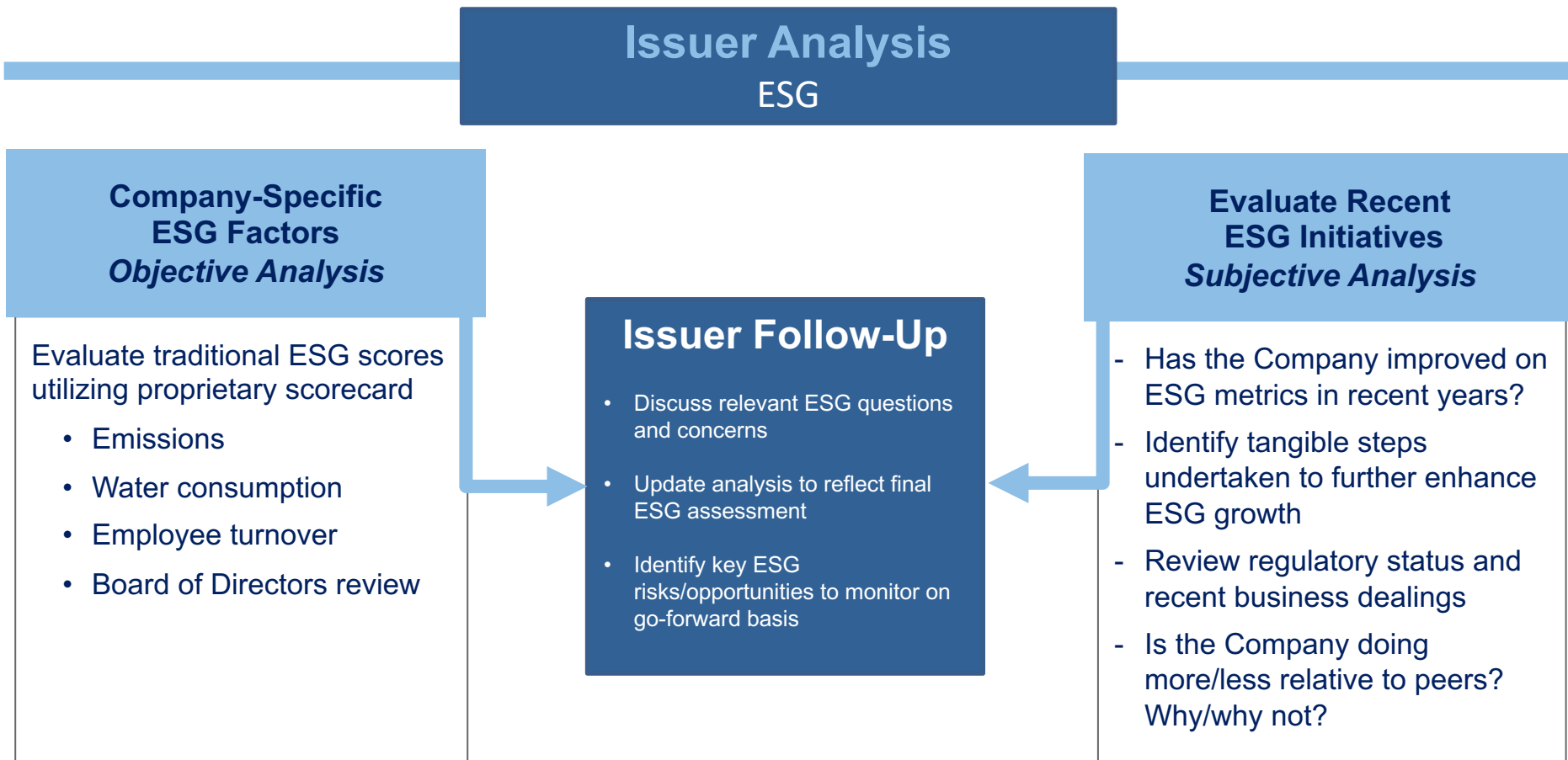
- Charitable Events Committee establishes and oversees employee community initiatives



### Governance

- Report to Board / Committees on status
- Committee oversight responsibility
- Compensation: Human Capital Management and DE&I
- Audit: general ESG oversight as part of Enterprise Risk Management
- UNPRI filings

ESG factors play an increasingly important role in security selection processes and will continue to impact asset valuations.



## ESG within the investment process

As an active asset manager, we take a fundamental, multi-faceted approach to identifying high-quality companies and sound businesses around the world. **We integrate ESG into our proprietary investment process and believe material ESG-related issues are important in conducting bottom-up, fundamental analysis and to evaluate the merits of a company's strategy, downside risk and valuation.** Based on our research, we find ESG plays a critical role in company selection and has always been an input to our analysis. As ESG evaluation continues to evolve, we will adapt our own analysis to stay true to our fiduciary responsibilities.

